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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No. 36J.P263

First Named Inventor or Application Identifier

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Express Mail Label No. EL582469803US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 202311. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)2. ☒ Specification Total Pages **43**3. ☒ Drawing(s) (35 USC 113) Total Sheets **13**4. ☒ Oath or Declaration Total Pages **2**a. ☐ Newly executed (original or copy)b. ☒ Unexecuted for information purposesc. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]i. ☐ **DELETION OF INVENTOR(S)**Signed Statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).5. ☐ Incorporation By Reference (useable if Box 4c is checked)  
The entire disclosure of the prior application, from which a copy of the  
oath or declaration is supplied under Box 4c, is considered as being  
part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein."Express Mail" mailing label number **EL582469803US**Date of Deposit **March 31, 2000**I hereby certify that this paper or fee is being deposited with  
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6. ☐ Microfiche Computer Program (Appendix)7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)a. ☐ Computer Readable Copyb. ☐ Paper Copy (identical to computer copy)c. ☐ Statement verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**8. ☐ Assignment Papers (cover sheet & document(s))9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)10. ☐ English Translation Document (if applicable)11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS  
Citations12. ☐ Preliminary Amendment13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)14. ☐ Small Entity ☐ Statement filed in prior application  
Statement(s) Status still proper and desired15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)16. ☐ Other: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_/\_\_\_\_**18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))		54-20 =	34	X \$ 18.00 =	\$612.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))		3-3 =	- 0 -	X \$ 78.00 =	- 0 -
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				\$260.00 =	None
				BASIC FEE (37 CFR 1.16(a))	\$690.00
Total of above Calculations =					\$1302.00
Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).					
TOTAL =					\$1302.00

## 19. Small entity status

- a. ☐ A Small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

20. ☒ A check in the amount of \$ 1302.00 to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the recordal fee is enclosed.

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- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

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## STANDARDIZED DEVICE CHARACTERIZATION

### BACKGROUND OF THE INVENTION

#### Field Of The Invention

- 5           The present invention relates to device calibration and characterization and, more particularly, to using a multi-step process wherein a data store is accessed during the process to retrieve and update the data therein and/or to
- 10          determine a measurement status usable in identifying an initiation point, the data store including such data as device control signals, position information associated with color patches in a target image, and device measurement values used to characterize a
- 15          color device.

Description Of The Related Art

Computing systems use different types of input and/or output devices to reproduce colors in, for example, a color, or graphics, image. A color may be interpreted or represented differently depending on the device. For example, a camera may understand a given color red as its red "500" while the color that is displayed by a monitor when it is asked to generate the same red "500" corresponds more closely to a red "325". Device characterization is a process used to map device colors to a set (or sets) of standard, or reference, colors. Using the mapping obtained by characterizing a color device, it is possible to predict the actual color reproduced by the device to provide a consistent color reproduction across devices.

Using the conventional model for characterizing a color device, a target image is used that includes a collection of colored patches that represent a sampling of the colors that the device is expected to support. In the case of a printer, this is a set of printed sheets generated by the printer. In the case of a monitor, this is a set of displayed colors. In the case of a scanner, it is a target document that contains the color patches that is printed using some technology that is likely to be used with the scanner (e.g., photographic print film).

There is currently no universal format for target images for use with color devices regardless

of the device type. In the case of scanners, there are multiple standard formats for target images, called 'IT8s', each of which is used with a particular print technology such as color print film, transparency film and 4-color print. However, these target image format are not intended for use with other color devices such as printers and/or monitors. In fact, there is currently no target image standard for use with such color devices.

Each IT8 target is therefore only useful for a particular print technology for use with scanners and other color input devices. Further, since the IT8 targets are produced by the manufacturers of the print technology, the target images are closely tied to the print technology with little or no regard to a scanner's other capabilities beyond those used with the particular print technology. This may result in only a limited part of the scanner's capabilities being addressed in the IT8 targets.

In the conventional characterization model, each of the color patches in a target image is measured using a standardized color measurement device (e.g., colorimeter or spectrophotometer). The resulting data is used, along with device control signals associated with each of the patches, to produce the device characterization. For a printer and monitor, for example, the device control signals are the signals used to generate the printed sheets and the displayed colors, respectively. For a scanner or other input device, the device control

signals are the signals generated by the scanner as a result of scanning the target image containing the patches.

As with target image formats, there is currently no universal approach for storing measurements produced during the measurement process. With respect to scanners, there is a standardized data format that may be used to retain measurement data produced from an IT8 target image. The standardized data format, which is defined in an IT8 specification (available from the American National Standards Institute), defines a format for storing measurement data only. That is, there is no provision in the standard for retaining scanner control signals or other information associated with the measurement process. There is currently no standard for storing measurement data associated with printers and/or monitors.

To store scanner measurement data, there are currently three standard data formats each of which is used with different IT8 target images to store measurement data only: (1) the IT8.7/1 for use with transparency film; (2) the IT8.7/2 is used with print film to store measurement data; and (3) the IT8.7/3 standard for use with 4-color print.

Figure 1 contains an example of a file using the IT8 standard to store measurement data associated with an IT8.7/1 (i.e., transparency film) target for use with scanners. The first three lines are part of a header and provide general descriptive information. The next five lines identify the

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syntax of the data portion of the file. That is, the number of rows of data (i.e., "NUMBER\_OF\_SETS"), the columns or fields within a row (i.e., "NUMBER\_OF\_FIELDS") and the corresponding field name associated with each field in the row (i.e., "SAMPLE\_ID," "XYZ\_X," etc.). The data portion of the IT8 file identifies the measurements obtained from scanning the target image.

10 The measurement values stored in the IT8.7/1 data file of Figure 1 and the control signals obtained from the scanner as a result of scanning the corresponding IT8 target image may be used to generate a scanner profile (e.g., a profile that conforms with the International Color Consortium, or ICC profile) that takes into account the specific characteristics (e.g., the measurements) of the device. However, since the IT8.7/1 standard is used with a transparency print file target image, the scanner profile is limited to only this technology.

25 As discussed above, the characterization of a device is a multi-stage process including producing a target image and measuring the color patches in the target image. The process of producing a target image and measuring color patches in the target image is often times very time consuming as a target image may comprise may hundreds or thousands of patches.

30 However, it may be determined that an error occurred during the measurement. Using the conventional model, it is necessary to repeat the

entire process. For example, the measurements obtained may be flawed due to flaws in the target image medium (e.g., a wrinkle or tear in the medium). It may be determined that additional patches are needed to take into account more measurements in a given range of the color spectrum. Alternatively, it may be that the process did not complete because it was interrupted for some reason (e.g., a hardware crash).

Further, it may be that multiple target images are needed to characterize a color device. For example, as discussed above, in the case of scanners, there are target images for print film, 4-color print and transparency technologies. However, scanners are typically intended for use in a general purpose environment in which other types of output medium or ink may be used. Also, it may be that a scanner may have a wider color range than can be supported by any single output device. Using the current approach, a different target is produced and a separate characterization process is performed for each target.

Under the current approach, there is no standardized mechanism for use with all types of color devices. Further, there is no ability to determine which, if any, portion of the measurement process is to be performed to avoid repeating the entire process. In addition, there is no ability to retain measurement information in a single location.



SUMMARY OF THE INVENTION

5 The present invention comprises a method of  
integrating characterization information associated  
with a target image for use with a color  
reproduction device wherein a measurement store is  
obtained that has an entry corresponding to a color  
patch of a target image, the entry comprising a  
color value of the color patch; updating the entry  
in the measurement store to include spatial  
10 information of the color patch in the target image;  
obtaining a measurement of the color patch in the  
target image; and updating the entry in the  
measurement store to include the measurement.

15 The measurement store according to this  
method therefore holds measurement information that  
may be used to characterize the color reproduction  
device. The measurement store may be ported to  
multiple computers and/or characterization programs  
to characterize the color reproduction device on  
20 multiple hardware and/or software platforms.

In a further aspect of the present  
invention, the measurement store may be examined to  
determine whether an entry in the measurement store  
is missing some portion of an entry component.

25 In so doing, it is possible to determine  
from the data file the actual state, or stage, of  
the measurement process at any given time. Using  
the state information, it is possible to determine  
what, if any, portion of the measurement process is  
30 to be performed. Further, it is possible to modify  
the measurement store to add data and cause the

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measurement process to operate only on the new data. Thus, for example, it is possible to measure a device with newly introduced color patches without processing previously-processed patches. A data store that contains the output generated during the multi-step measurement process may then be used to characterize the device.

This brief summary has been provided so that the nature of the invention may be understood quickly. A more complete understanding of the invention can be obtained by reference to the following detailed description of the preferred embodiment thereof in connection with the attached drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is an example of an ITS data file containing measurement data.

Fig. 2 is an outward view of representative computing hardware embodying the present invention.

Fig. 3 is a block diagram of the internal architecture of computer 1.

Fig. 4 provides an overview of a process of measuring a color device and maintaining a measurement store according to the present invention.

Fig. 5 illustrates a measurement store including reference color values according to the present invention.

Fig. 6 provides an example of a portion of a target image including color patches according to the present invention.

5        Fig. 7 illustrates the measurement store of Figure 5 including spatial information according to the present invention.

      Fig. 8 illustrates the measurement store of Figure 7 including measurements according to the present invention.

10       Figures 9 to 11 illustrate a flow diagram of process steps wherein measurement store 500 is examined to identify missing data and measurement is performed in response to the missing data according to the present invention.

15       Fig. 12 illustrates a process flow configured to process each of the sections of a entry before proceeding to the next entry according to the present invention.

20       Fig. 13 illustrates alignment variables according to the present.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

25       Fig. 2 is an outward view of representative computing hardware embodying the present invention. Shown in Fig. 2 are computer 1 executing a browser-enabled operating system, such as Microsoft Windows98®, display monitor 2 for displaying text and images to a user, keyboard 4 for entering text and commands into computer 1, and mouse 5 for  
30       manipulating and for selecting objects displayed on display monitor 2. Also included with computer 1

are fixed disk drive 3, in which are stored computer-executable process steps of the windowing operating system and of other programs and process steps executed by computer 1. Fixed disk 3 also stores data files and device drivers for use with computer 1. Floppy disk drive 6 provides an interface to computer 1 for reading data from and writing data to floppy disks inserted therein. Using floppy disk drive 6, the above-described computer executable process steps and/or data files may be input to computer 1 and stored on fixed disk 3.

Computer-executable process steps and data files may also be retrieved over a network via connection 8 or via connection 9 (e.g., a telephone line) from World Wide Web 10. In addition, control signals (e.g., control signals generated from scanning an image) can be received from scanner 12 and stored on fixed disk 3. Data and/or applications may also be accessed from a CD-ROM via a CD-ROM drive (not shown). Printer 7 is used to output hard copy images in response to control signals received from computer 1 executing an application program and/or device drivers. Printer 7 may be an ink jet printer, a laser beam printer or the like.

Computer-executable process steps and data files obtained by computing equipment 1 over World Wide Web 10 are transferred thereto by servers such as server 15. In response to a request for data, server 15 collects the required data, properly

formats the data, and sends the data to computing equipment 1 over World Wide Web 10. Further, by executing a web browser application, web pages and associated data can be received from World Wide Web 10. In addition, data including images and programs may be received by computer 1 via World Wide Web 10.

Fig. 3 is a block diagram of the internal architecture of computer 1. Shown in Fig. 3 are CPU 20, which is preferably a Pentium-type microprocessor, interfaced to computer bus 22. Also interfaced to computer bus 22 are scanner interface 23 for interfacing to scanner 12, network interface 25 for interfacing to network connection 8, printer interface 24, to allow computer 1 to communicate with printer 7, modem interface 26 to enable communications between computer 1 and its internal modem, display interface 27 for interfacing with display monitor 2, keyboard interface 28 for interfacing with keyboard 4, and mouse interface 29 for interfacing with mouse 5. Of course, if computer 1 connects to World Wide Web 10 by a connection other than a telephone connection, a suitable interface other than modem interface 29 may be utilized.

Read only memory (ROM) 31 stores invariant computer-executable process steps for basic system functions such as basic I/O, start up, or reception of keystrokes from keyboard 4.

Main random access memory (RAM) 32 provides CPU 20 with memory storage which can be accessed quickly. In this regard, computer-executable

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process steps configured to measure color output of a device as well as other process steps of a image editor or other application program are transferred from fixed disk 3 over computer bus 22 to RAM 32 and executed therefrom by CPU 20.

Also shown in Fig. 3 is fixed disk 3 which includes a windowing operating system as well as other applications executable in computer 1 which may include a web browser, word processing, spreadsheet, graphics, gaming applications as well as applications downloaded from World Wide Web (e.g., an interactive photo shop interface application). Fixed disk 3 further includes data files and device drivers as shown.

As is described in more detail below, fixed disk 3 further includes process steps configured to measure color input and/or output of color devices and maintain a store of measurement data. The measurement data may be used to determine a measurement state, and as well as to be accessed by one or more device characterization programs and/or measurement programs for use in characterizing the color device.

Preferably, the measurement data is stored in a data file on fixed disk 3 using a standardized format such as the IT8 data file. According to the IT8 format, an IT8 data file includes header, format and data portions as well as tags, or keywords. In the format portion, tags are used to identify the format of the data in the data portion. As described above, the "NUMBER\_OF\_FIELDS" and

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"NUMBER\_OF\_SETS" tags may be used to identify the number of rows and columns in the data portion. Additional tags may be used to identify the type of data contained in each field. For example, there are tags that identify a field as containing RGB (e.g., an "RGB\_R" tag indicating that the field contains a red color component value in the RGB color model), XYZ (e.g., an "XYZ\_X" tag identifying an "X" component of XYZ tristimulus data) or "CMYK\_C" (e.g., "CMYK\_C" tag identifying a cyan component in the CMYK color model).

The present invention defines tags in addition to those defined in the IT8 standard to identify spatial information as well as other information. Additional tags include "POS\_X" and "POS\_Y" which identify data types that specify an "X" and "Y" offset location from a known location (e.g., offset from a known alignment mark such as the upper, right-hand corner alignment mark), "POS\_DX" and "POS\_DY" which identify size data types, and "POS\_UNITS" which identify the units of measure (e.g., inches, millimeters, pixels, etc.) for positioning values. Rather than specifying a "POS\_DX" and "POS\_DY" value for each color patch, where the color patches are of uniform size within the target image, "PATCH\_HEIGHT" "PATCH\_WIDTH" tags may be used to identify the uniform color patch height and width.

In the case where individual height and width are given, the POS\_X and POS\_Y values identify the upper left-hand corner of a rectangle that

bounds the color patch. In this case where a uniform height and width are given along with a PATCH\_HEIGHT and PATCH\_WIDTH, POS\_X and POS\_Y data are interpreted to be the center of a rectangle of the size indicated.

To identify the dimensions of a target image page of a target image, "TARGET\_WIDTH" and "TARGET\_HEIGHT" tags define data types expressed in "POS\_UNITS" that indicate the size of the target area on the target image. The target image size is no greater than the physical size of the target image medium. For example, if a target image is generated on letter size paper (8.5" x 11"), the target width and height is no larger than 8.5" x 11". As is discussed in more detail below, the "TARGET\_WIDTH" and "TARGET\_HEIGHT" tags are used to facilitate determining the alignment of the target image. Alignment information is useful in determining the location of a color patch in a target image.

In addition to the new data tags, the present invention uses existing tags to identify the new information (e.g., device control signals) included in the IT8 data file.

Figure 4 provides an overview of a process of measuring a color device and maintaining a measurement store according to the present invention. The measurement store that is created and/or updated during measurement may be used to characterize the device by correlating device control signals with measured values.



Further, data in the measurement store may be used to generate the target image. Where the color device is an output device such as a printer or monitor, for example, the reference colors that are stored in the measurement store may be sent as device control signals to the color output device to generate the color patches which are then measured to yield the measured values. In a case of an input device such as a scanner or a digital camera, for example, the device control signals are generated by the color device upon input of a target image and the color patches in the target image are measured to yield the measured values. The correlation between device control signals and measured values provides a mapping between each of the reference colors and a color of the device.

Thus, once characterized, there is a one-to-one correlation between device control signals and measured values. It is therefore possible to predict a color which is generated (or detected in a case of an input device) for a given reference color. It is also possible, to use the correlation to formulate a prediction for colors other than reference colors. For example, where an unmeasured color is encountered, it is possible to derive a corresponding device control signal using an interpolation operation that makes use of reference colors that are similar to the unmeasured color and their corresponding device control signals. For example, it is possible to derive a device control signal that falls between two device

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control signals associated with two measured reference colors between which the unmeasured color falls.

Referring to Figure 4, at S401, a measurement store is created or initialized that initially holds one or more reference color definitions. The measurement store may be a data file in the form of an IT8 data file. For greater efficiency during processing, some or all of the measurement store may be written to a location in RAM 32 which is then written back to an IT8 data file for more permanent storage.

Referring to Figure 5, measurement store 500 includes data portion 520 which comprises rows, or entries, 530 to 534 of data each of which includes fields. As indicated in entries 511 and 512 of format portion 510, there are currently four fields that comprise an entry in data portion 520. The first field, which comprises identifier section 521, is an identifier field that contains an identification of the entry and/or corresponding color patch. The remaining three fields, which comprise a reference color section 522, contains color values. The color values in section 522 represent both the values used to generate a target image's color patches and an output device's control signals. As is discussed in more detail below, in case of an input device, section 522 may initially represent values used to generate the color patches, but is updated to include the device control signals generated by the input device. As indicated in tag

definition 514 of entry 512, in the example of Figure 5, the color values are expressed as red, green and blue color components in the RGB color model. Each of the rows in color section 522

5 defining a different reference color.

Referring again to Figure 4, the reference colors in measurement store 500 may be used to create a target image comprised of color patches, at S402, and measurement store 500 is updated to

10 reflect the position of the color patch in the target image. Figure 6 provides an example of a portion of a target image including color patches according to the present invention. In the example of Figure 6, target image 600 is arranged using a

15 rectangular grid, however, it should be apparent that other arrangements (e.g., hexagonal grid) may be used.

The color patches depicted in target image 600 reflect a typical target image in that the color

20 patches are of uniform size and positioning. However, it is notable that irregular positioning and/or sizing may be used with the present invention, since measurement store 500 is updated to reflect the position and size of each color patch in

25 a target image. Measurement 500 may be accessed to identify a position of a color patch within a target image during color measurement. Thus, it is not necessary to use pre-determined or uniform positions and sizes of color patches.

30 Target image 600 includes alignment points 601 that are used to detect and adjust for any

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misalignment. In addition, target image 600 includes color patches 610 to 614 that correspond to entries 530 to 534 (respectively) in measurement store 500. Since a uniform height and width are given, the POS\_X and POS\_Y values identify center position 603 of color patches 610 to 614. If individual height and width values were specified using POS\_DX and POS\_DY field identifiers, POS\_X and POS\_Y would identify corner position 604 of color patches 610 to 614.

As is discussed in more detail below, to generate a color patch, an entry is retrieved from measurement store 500 and the color component values defined in reference color section 522 are used to generate a color patch. For example, the reference color values in entry 530 (i.e., "127 255 127") are used to generate color patch 610 of target image 600. Similarly, color patches 611 to 614 are generated using the reference color value definitions of entries 531 to 534, respectively.

Preferably, the mechanism (e.g., patch printing process) that causes target image 600 to be generated updates format portion 510 to include entries 517 to 519. Entries 517 to 519 which identify the spatial information unit of measure and the dimensions of the target image on an output medium. In the example of Figure 7, a uniform color patch size (i.e., 0.5 inches) is designated in entries 508 and 509.

After a color patch is generated in target image 600, measurement store 600 is updated with

spatial information of the color patch. Figure 7 illustrates measurement store 500 updated to include spatial information section 523, comprising fields POS\_PAGE, POS\_X and POS\_Y, for each of color patches 610 to 614. Preferably, format portion 510 and data portion 520 are updated. For example, entry 511 is updated to include the additional fields that contain the spatial information and entry 512 is updated with tag definition 515 to add the tags that identify each new field's data type. More particularly, in the example of Figure 7, the "POS\_PAGE", "POS\_X" and "POS\_Y" tags are added to indicate that the corresponding fields in data portion 520 contain page, x-location and y-location data, respectively. Since a uniform color patch size is specified in format portion 510 (i.e., entries 508 and 509), "POS\_DX" and "POS\_DY" fields are not needed. Referring to data portion 520, corresponding fields in spatial section 523 are appended to entries 530 to 534. For example, entry 530 is updated to reflect that color patch "A01" is in page one of the target image at an x and y offset location of "0.786" and "0.325".

Referring again to Figure 4, measurement store 500 is updated with spatial information for each of the color patches that is generated during S402. At S403, the spatial information is used to locate a color patch in the target image so that each patch may be measured to determine an associated measurement value or values. Measurement

store 500 is then updated to include the measurements obtained in S403.

Figure 8 illustrates the measurement store data of Figure 7 including measurements according to the present invention. Entry 511 is updated to reflect the additional fields that contain the measurements and entry 512 is updated to add the tags in tag definition 516 that identify each new field's data type. In the example of Figure 8, the "XYZ\_X", "XYZ\_Y" and "XYZ\_Z" tags are added to entry 512 to indicate that the corresponding fields in data portion 520 contain XYZ tristimulus measurement values. Measurement section 524 contains sample measurement values.

As discussed above, measurement store 500 contains device control signals used by output devices to generate target image 600. However, in the case of an input device (e.g., scanner, digital camera, etc.), device control signals are generated by the device. In such a case that the color device generates device control signals, measurement store 500 is updated to reflect the generated signals. Referring to S404 of Figure 4, a determination is made whether or not to update measurement store 500 with the generated signals. If not processing continues at S406 to characterize the device using the information contained in measurement store 500.

However, if it is determined at S404 to update measurement store 500 with the generated device control signals, processing continues at S405. Measurement store 500 may be updated using

various techniques. For example, additional fields of an input signal portion may be added to the data portion to contain the generated signals. In this case, another set of tags may be added to identify the new fields as containing such data.

Alternatively, reference color section 522 may be updated to reflect the generated signals.

At S406, measurement store 500 is used to characterize the color device whose device control signals and associated measurement values are contained in measurement store 500.

Since measurement store 500 retains the data generated at each step for use by another step in the measurement process, one or more steps may be performed on different computing equipment. This provides the ability to be able to use multiple hardware and/or software platforms to optimize processing and any particular capabilities of the hardware and/or software. For example, it may be that a particular measurement device or device characterization software is desired. Since measurement store 500 holds the results of each step in the process, it is possible to port measurement store 500 to a specific platform.

It may be desirable to repeat some or all of the measurement process to collect measurement information as a substitute for, or supplement of, the information contained in measurement store 500. Measurement store 500 may be accessed to determine which, if any, point of the measurement process is to be repeated. More particularly, measurement

store 500 may be examined to identify "missing" (or "removed") data. Preferably, missing data is indicated in measurement store 600 by a non-numeric placeholder such as an "\*" or a "?" character (or characters). If, for example, positional data is missing from measurement store 600, measurement is commenced at S402 of Figure 4. Referring to Figure 8, for example, entry 534 is missing spatial and measurement information. Similarly, if measurement data is missing, measurement is commenced at S403 of Figure 4. If device control signals are missing from measurement store, measurement commences at S405 to update measurement store 500 to include device control signals.

A new target image page is generated in each pass of the measurement process where the target image or color patch dimensions (e.g., "TARGET\_WIDTH" and "TARGET\_HEIGHT") differ, additional entries corresponding to entries 508 to 509 and 517 to 519 may be added to measurement store 500. According to the IT8 standard, the new entries are added after data portion 520 followed by another data portion 520. Of course, it should be apparent that other techniques are available to alter formatting for an associated data portion 520.

Figures 9 to 11 illustrate a flow diagram of process steps wherein measurement store 500 is examined to identify missing data and measurement is performed in response to the missing data according to the present invention.



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30

continues at S1001 to examine measurement section 524 for missing data. At S1001, a determination is made whether all of the entries have been processed. If so, processing continues at S1101 to identify whether measurement store 500 is to be updated to furnish missing device control signal data.

5 If it is determined, at S1001, that not all of the entries have been processed, processing continues at S1002 to get the next patch. At S1003, a determination is made whether or not the entry is missing spatial information. If so, processing continues at S901 to furnish the missing spatial information. Alternatively, processing can continue at S1001 thereby skipping the entry that is missing the spatial information during this pass.

10 If it is determined, at S1003, that the entry is not missing the spatial information, processing continues at step S1004 to determine whether the entry is missing measurement data. If, for example, the entry does not have a value (e.g., a field contains a non-numeric placeholder) for any or all of the measurement fields (e.g., entry 534 of Figure 8), processing continues at S1005 to use the spatial information associated with the entry to position the measurement device over the color patch. At S1006, the measuring device obtains a color measurement of the color patch which is recorded, at S1007 in measurement store 500. Processing continues at S1001 to process any remaining entries in data portion 520.

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Once all of the entries have been examined and processed to provide any missing spatial information and/or measurement information, processing continues at S1101 of Figure 11 to  
5 determine whether or not measurement store 500 is to be updated with device control signal information. At step S1101, a determination is made whether or not all of the entries in data portion 520 have been processed. If so, characterization of the device  
10 may be done using the information contained in measurement store 500 (e.g., S406 may be performed).

If it is determined at S1101 that there are remaining entries, processing continues at S1102 to get the next entry. At S1103, a determination is  
15 made whether the entry has corresponding measurement data. If not, processing continues at S1003, to furnish the missing measurement information. Alternatively, processing can continue at S1104 thereby skipping the entry that is missing the  
20 measurement information during this pass.

If it is determined, at S1103, that the entry does contain measurement data, processing continues at S1104 to determine whether or not the entry is missing device control signals. For  
25 example, such a case exists where the device to be characterized is an input device. If it is determined that the entry already contains the device control signals, processing continues at S1101 to process any remaining entries.

30 Where, however, it is determined (at S1104) to update measurement store 500 with the device

control signals, processing continues at S1105 to cause the device to capture the target image (e.g., in the case of a scanner, to scan a target image page) and record the device control signals associated with a patch in the target image in measurement store 500. Processing continues at S1101 to process any remaining entries (e.g., an entry associated with a color patch on another page of the target image).

In the process steps of Figures 9 to 11, measurement store 500 is examined to identify missing data and thereby to determine the state of measurement. The measurement state is then used to determine what, if any, portion of the measurement process is to be performed. It should be noted that other methods may be used to determine a measurement state other than by identifying a placeholder for missing data. For example, it is possible to use one or more fields in measurement store 500 which may act as a flag or indicator of a measurement state. A different indicator value may be used to identify whether to perform target generation and spatial data update, color measurement and measurement value update and/or device control signal determination and update. Further, it may be possible to use an indicator to indicate whether or not to perform a device characterization using some or all of the information in measurement store 500.

Using the features of the present invention, measurement store 500 may be manipulated using an editor to add additional entries, or remove

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data associated with an existing entry, before  
initiating the process steps of Figures 9 to 11. In  
a case that new readings are desirable to provide  
coverage in a certain range of the color spectrum,  
5 new entries may be added to measurement store 500  
that include a color patch identifier and a  
reference color definition. When a new entry is  
encountered at S903 of Figure 9 with missing spatial  
information, S904 is performed to generate a color  
10 patch using the reference color definition and  
measurement store is updated to reflect the color  
patch's spatial information. Similarly, when a new  
entry is encountered at S1004 of Figure 10 and S1104  
of Figure 11, measurement store 500 is updated to  
15 include the missing measurement and (if needed)  
device control signals, respectively.

In the above example of Figures 9 to 11,  
processing for one section of data portion 520  
(e.g., sections 522 to 524) is completed before  
20 beginning processing on the next section. However,  
it is possible to perform some or all of the process  
steps for all of the section of an entry before  
proceeding to the next entry. This is especially  
applicable in the case of a monitor where each color  
25 patch may be sequentially output to the display.

Figure 12 illustrates a process flow  
configured to process each of the sections of a  
entry before proceeding to the next entry according  
to the present invention. At S1201, a determination  
30 is made whether or not all of the entries have been  
processed. If so, processing continues at S406 of

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Figure 4 to characterize the device using the information in measurement store 500.

If not, processing continues at S1202 to get the next entry in measurement store 500. At S1203, a determination is made whether or not the entry has corresponding spatial information. If not, processing continues at S1204 to print the color patch, record the spatial information in measurement store 500. In either case, processing continues at S1205 to determine whether or not the entry includes measurement information. If not, processing continues at S1206 to S1208 to obtain measurements for the color patch. In either case, processing continues at S1209 to determine whether or not the entry is to be updated with device control signals generated by the color device. If so, processing continues at S1210 to obtain the device control signals. In either case, processing continues at S1201 to process any remaining entries.

As described above with reference to S1005 of Figure 10, for example, color patches of a target image are measured to obtain color patch measurements. Because a measurement device may not have a firm, fixed place to put the image during measurement, the target image may be placed in the device with some offset and/or skew. In order to properly position the measurement head over a patch, it is necessary to be able to locate the patch from the position information in measurement store 500. Typically, the individual that places the image into a measurement device indicates the location of the

alignment marks so that the measurement program can calculate the relative location of each color patch on the measurement device table. To do so accurately, it is important to account for any skew of the document on the table as well as the offset.

Depending upon the format of the target image, there will be some agreed-upon location on the image that is to be treated as the upper-left corner of the target as well as another location that will be treated as the lower-right corner. This may be special printing on the document, such as target circles or fiducial marks (e.g., alignment marks 601 in Figure 6), or it may be agreed upon as the upper-most, left-most and right-most, bottom-most color patches themselves.

The inclusion of the TARGET\_HEIGHT and TARGET\_WIDTH tags and corresponding data in measurement store 600 provides information that may be used to calculate the skew based on the locations of the alignment marks. Figure 13 provides an overview of an alignment determination.

Referring to Figure 13, target image 1300, with color patches 1303, includes alignment marks 1301 that identify the upper, right-hand and lower, left-hand corners of target image 1300 and have x and y locations  $T_1x$ ,  $T_1y$  and  $T_2x$ ,  $T_2y$  (respectively). Reference lines 1302 represent the desired alignment and point out a skew associated with the target image on the page.

The angle of the target area,  $\alpha$ , is equal to arc-tangent of the height of the target (as





WHAT IS CLAIMED IS:

1. A method of integrating  
characterization information associated with a  
target image for use with a color reproduction  
5 device comprising:

obtaining a measurement store having an  
entry corresponding to a color patch of a target  
image, the entry comprising a color value of the  
color patch;

10 updating the entry in the measurement store  
to include spatial information of the color patch in  
the target image;

obtaining a measurement of the color patch  
in the target image; and

15 updating the entry in the measurement store  
to include the measurement.

2. A method according to Claim 1, wherein  
the measurement store is an ASCII data file.

20 3. A method according to Claim 2, wherein  
the data file is an IT8-formatted data file.

25 4. A method according to Claim 1, wherein  
the spatial information comprises a location of the  
color patch in the target image.

30 5. A method according to Claim 1, wherein  
the spatial information comprises color patch size  
information.

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5

retrieving a control signal corresponding

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7. A method according to Claim 6, wherein

15

8. A method according to Claim 6, wherein

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9. A method according to Claim 1, wherein

25

30

measuring a printed color corresponding to the color patch.

5 10. A method according to Claim 1, wherein the color reproduction device is a monitor, obtaining a measurement of a color patch reproduced by the monitor further comprising:

displaying the color patch on the monitor using the color value of the entry in the measurement store; and

measuring a displayed color corresponding to the color patch.

15 11. A method according to Claim 1, wherein the method further comprising:

identifying a measurement status using the measurement store.

20 12. A method according to Claim 11, wherein an entry format includes a color value component, a spatial component and a measurement component, identifying a measurement status further comprising:

25 examining the measurement store to determine whether the entry is missing data in at least one of the components.

30 13. A method according to Claim 12, wherein examining the measurement store to determine whether the entry is missing data further comprising:

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14. A method according to Claim 13,  
5 wherein the placeholder is a non-numeric  
placeholder.

```

10             initiating measurement at a point of
            updating the measurement store to include spatial
            information, if the entry's spatial component is
            missing all or some portion.

```

20

17. A method according to Claim 1, wherein  
the method further comprising:

18. A method according to Claim 17,  
wherein generating the color patch in the target  
image further comprising:  
30           updating the measurement store to include  
target dimension information.

19. A method according to Claim 1, wherein the method further comprising:

generating the target image using the color value to provide input to the output color device.

5

20. A method according to Claim 1, wherein the method further comprising:

characterizing the color reproduction device using the measurement store.

10

21. A computer-readable medium which stores computer-executable process steps for integrating characterization information associated with a target image for use with a color reproduction device, the computer-readable process steps comprising:

15

an obtaining step to obtain a measurement store having an entry corresponding to a color patch of a target image, the entry comprising a color value of the color patch;

20

an updating step to update the entry in the measurement store to include spatial information of the color patch in the target image;

25

an obtaining step to obtain a measurement of the color patch in the target image; and

an updating step to update the entry in the measurement store to include the measurement.

30

22. A computer-readable medium according to Claim 21, wherein the measurement store is an ASCII data file.

23. A computer-readable medium according to Claim 22, wherein the data file is an IT8-formatted data file.

5           24. A computer-readable medium according to Claim 21, wherein the spatial information comprises a location of the color patch in the target image.

10           25. A computer-readable medium according to Claim 21, wherein the spatial information comprises color patch size information.

15           26. A computer-readable medium according to Claim 21, wherein the color reproduction device is an input device, the computer-executable process steps further comprising:

            an obtaining step to obtain a digital copy of the target image using the input device; and

20           a retrieving step to retrieve a control signal corresponding to a detected color of the color patch in the target image;

            an updating step to update the entry in the measurement store to include the control signal.

25           27. A computer-readable medium according to Claim 26, wherein the updating step to update the entry in the measurement store to include the control signal further comprising:

30           a replacing step to replace the color value in the measurement store with the control signal.

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5                    an adding step to add an input signal  
component to the entry which comprises the control  
signal.

```

        a printing step to print the color patch
15      using the printer and the color value of the entry
        in the measurement store; and

```

20                    30. A computer-readable medium according  
to Claim 21 wherein the color reproduction device is  
a monitor, the obtaining step to obtain a  
measurement of a color patch reproduced by the  
monitor further comprising:

a measuring step to measure a displayed color corresponding to the color patch.

31. A computer-readable medium according to Claim 21, wherein the computer-executable process steps further comprising an identifying step to identify a measurement status using the measurement store.

5

32. A computer-readable medium according to Claim 31, wherein an entry format includes a color value component, a spatial component and a measurement component, the identifying step to identify a measurement status further comprising:  
an examining step to examine the measurement store to determine whether the entry is missing data in at least one of the components.

10

15

33. A computer-readable medium according to Claim 32, wherein the examining step to examine the measurement store to determine whether the entry is missing data further comprising:

20

a determining step to determine whether the entry includes a placeholder representing the missing data.

25

34. A computer-readable medium according to Claim 33, wherein the placeholder is a non-numeric placeholder.

30

35. A computer-readable medium according to Claim 32, wherein the computer-executable process steps further comprising:



an initiating step to initiate measurement at a point of updating the measurement store to include spatial information, if the entry's spatial component is missing all or some portion.

5

36. A computer-readable medium according to Claim 32, wherein the computer-executable process steps further comprising:

an obtaining step to obtain a measurement of the color patch, if the measurement component is missing all or some portion.

10

37. A computer-readable medium according to Claim 21, wherein the computer-executable process steps further comprising:

15

a generating step to generate the color patch in the target image using the color value of the entry in the measurement store.

20

38. A computer-readable medium according to Claim 37, wherein the generating step to generate the color patch in the target image further comprising:

25

an updating step to update the measurement store to include target dimension information.

39. A computer-readable medium according to Claim 21, wherein the computer-executable process steps further comprising:

a generating step to generate the target image using the color value to provide input to the output color device.

5                   40. A computer-readable medium according  
to Claim 21, wherein the computer-executable process  
steps further comprising:

a characterizing step to characterize the  
color reproduction device using the measurement  
store.

41. A memory for integrating  
characterization information associated with a  
target image for use with a color reproduction  
device, the memory comprising:

a color component comprising a color value representing a color patch of a target image;

a spatial component, the spatial component comprising position information of the color patch in the target image generated using the color value; and

a measurement component, the measurement component representing a measurement of the color patch.

25

42. A memory according to Claim 41,  
wherein the memory is an ASCII data file.

43. A memory according to Claim 42,  
30 wherein the data file is an IT8-formatted data file.

44. A memory according to Claim 41,  
wherein the spatial component includes a location of  
the color patch in the target image.

5           45. A memory according to Claim 41,  
wherein the spatial component includes color patch  
size information.

10           46. A memory according to Claim 41,  
wherein a placeholder is usable in the spatial and  
measurement components to identify missing data.

15           47. A memory according to Claim 46,  
wherein the placeholder is a non-numeric  
placeholder.

20           48. A memory according to Claim 41,  
wherein the memory further comprising a format  
structure including format information of said  
memory.

25           49. A memory according to Claim 48,  
wherein the format information comprises at least  
one position tag identifying a data type of an  
element in the spatial component.

30           50. A memory according to Claim 48,  
wherein the format information includes dimension  
information of the target image.

51. A memory according to Claim 48,  
wherein the format information includes a uniform  
sizing of color patches in the target image.

5 52. A memory according to Claim 48,  
wherein the format information includes a unit of  
measure of elements in the spatial component.

10 53. A memory according to Claim 41,  
wherein the memory further comprising:  
a signal component comprising a control  
signal representing a detected color of the color  
patch.

15 54. A memory according to Claim 53,  
wherein the signal component is stored in place of  
the color component.

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ABSTRACT

The present invention comprises a method of integrating characterization information associated with a target image for use with a color

5 reproduction device wherein a measurement store is obtained that has an entry corresponding to a color patch of a target image, the entry comprising a color value of the color patch; updating the entry in the measurement store to include spatial  
10 information of the color patch in the target image; obtaining a measurement of the color patch in the target image; and updating the entry in the measurement store to include the measurement.

15

Header  
Portion

IT8.7/1  
ORIGINATOR "Company L"  
DESCRIPTOR " XYZ Calibration Data"  
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Format  
Portion

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SAMPLE\_ID XYZ\_X XYZ\_Y XYZ\_Z  
END\_DATA\_FORMAT  
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Data  
Portion

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Fig. 1

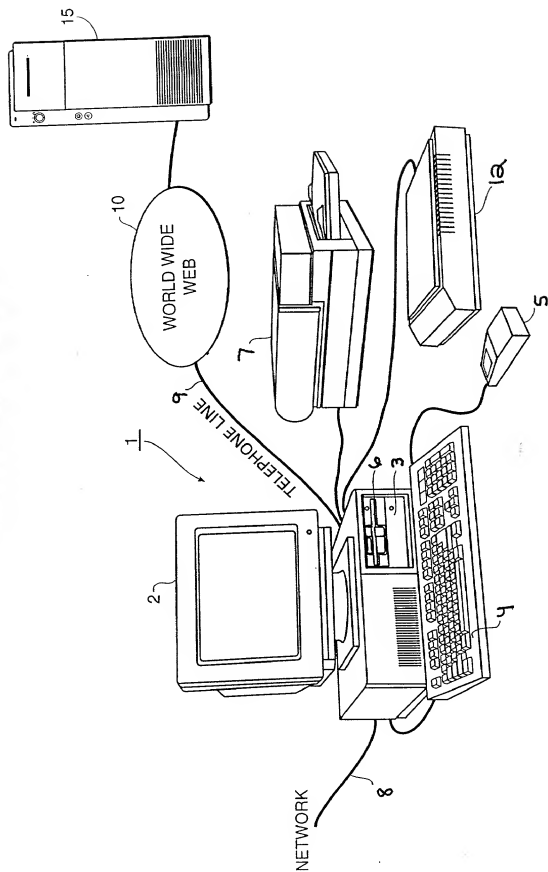


FIG. 2

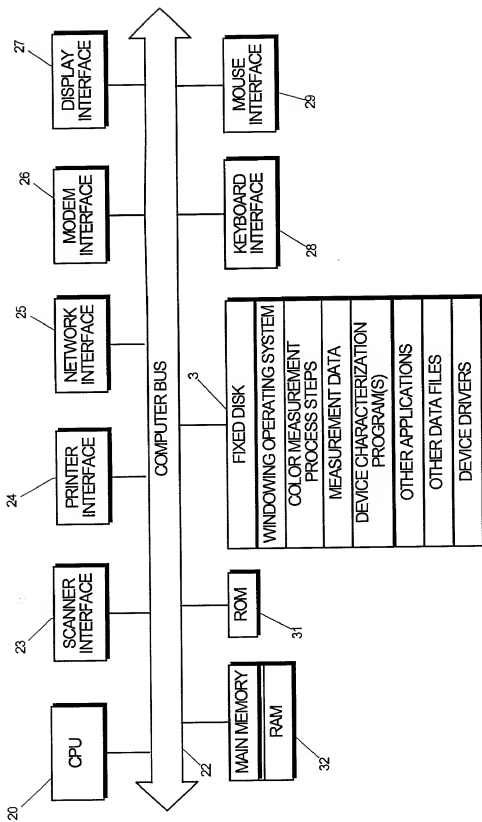


FIG. 3



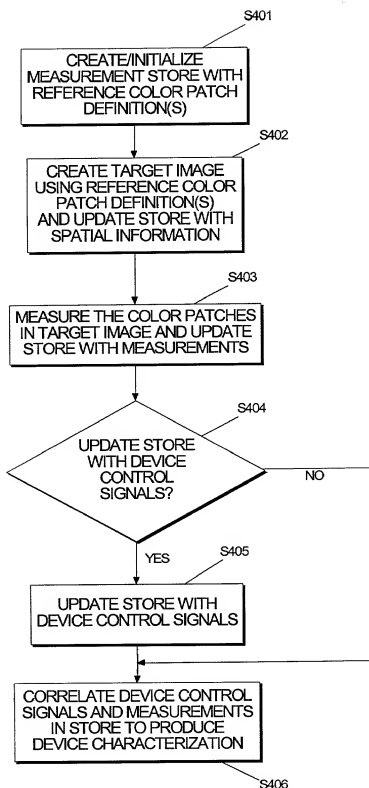


FIG. 4

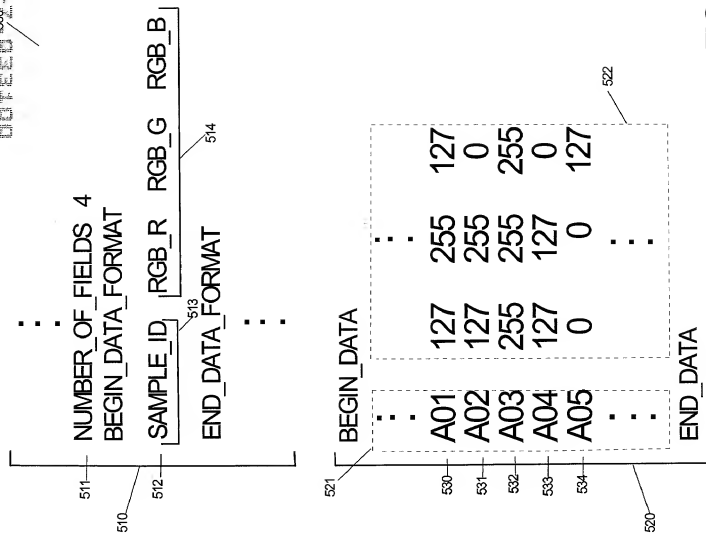


FIG. 5

601

1 2 3 4 5

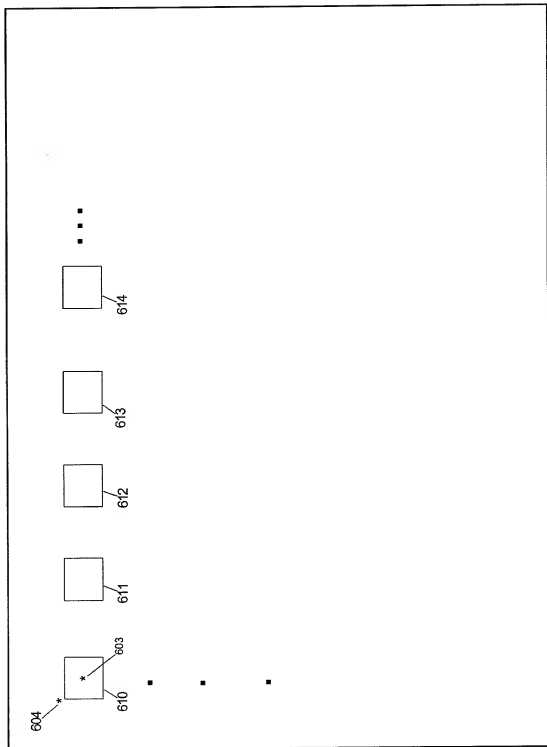


FIG. 6



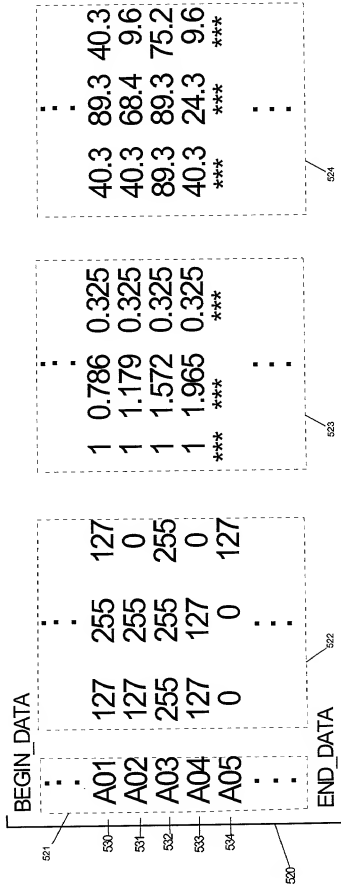
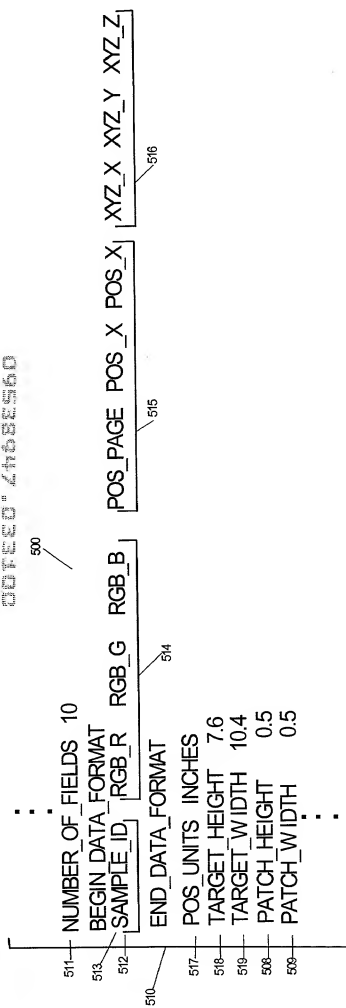
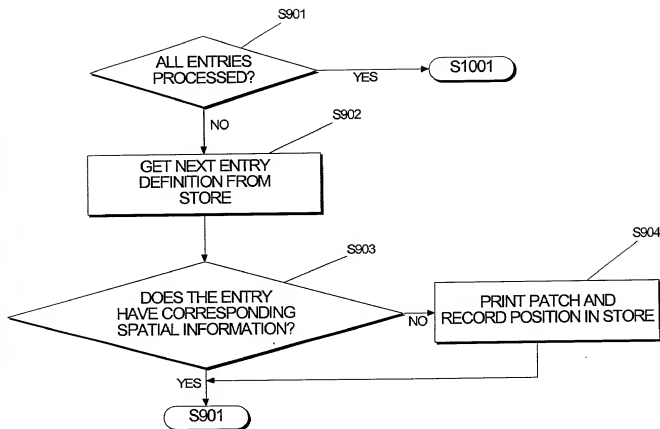


FIG. 8

**FIG. 9**

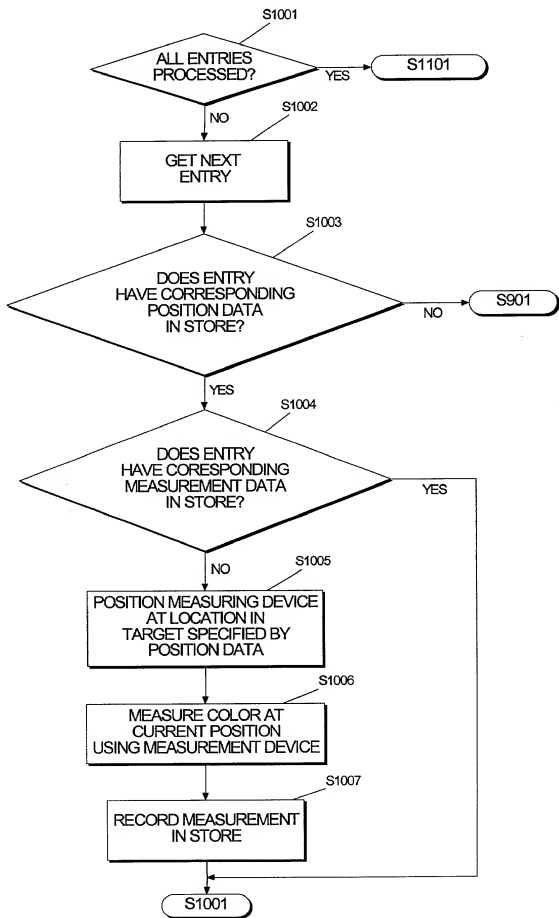


FIG. 10

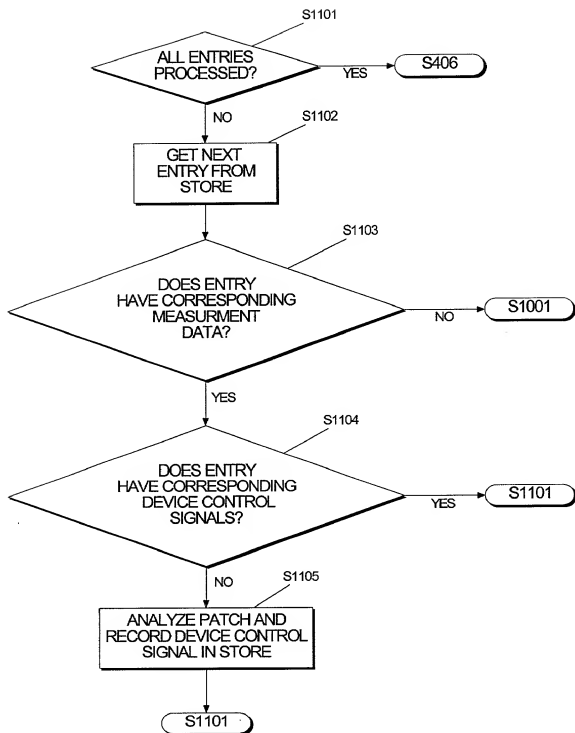


FIG. 11



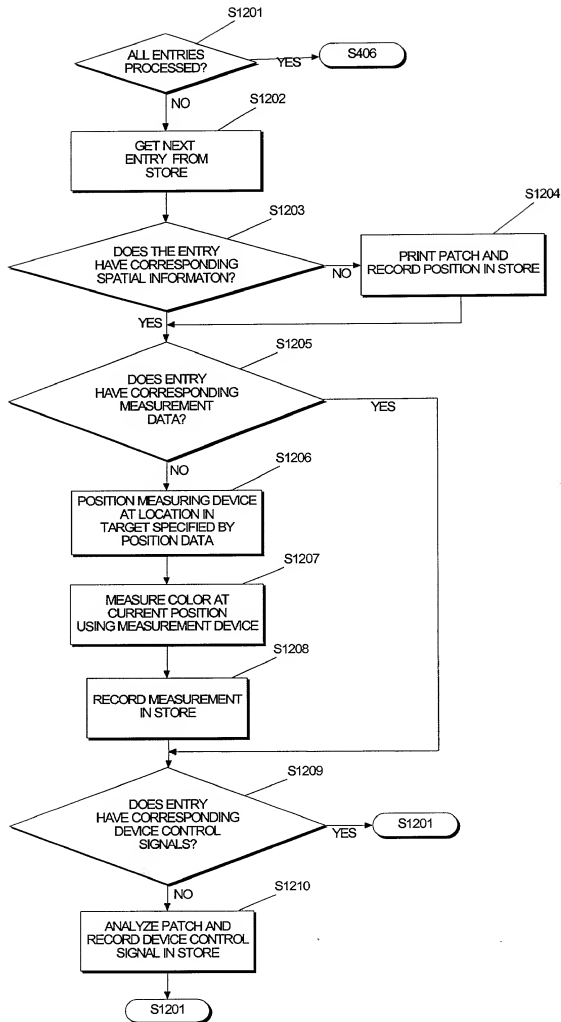


FIG. 12

1300

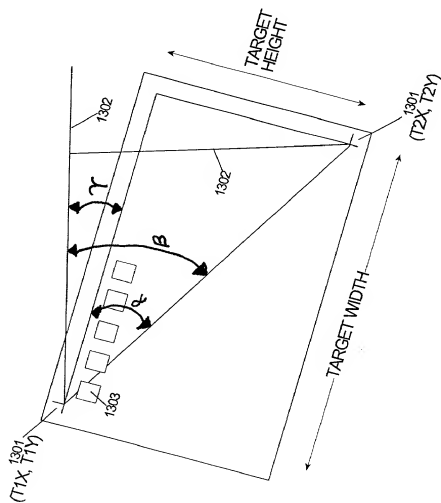


FIG. 13

COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION

(Page 1)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled STANDARDIZED DEVICE CHARACTERIZATION (36J.P263) , the specification of which ☒ is attached hereto ☐ was filed on \_\_\_\_\_ as United States Application No. or PCT International Application No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b), of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designates at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed:

			(Yes/No)
<u>Country</u>	<u>Application No.</u>	<u>Filed (Day/Mo./Yr.)</u>	<u>Priority Claimed</u>

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

<u>Application No.</u>	<u>Filed (Day/Mo./Yr.)</u>
------------------------	----------------------------

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

		Status
<u>Application No.</u>	<u>Filed (Day/Mo./Yr.)</u>	<u>(Patented, Pending, Abandoned)</u>

I hereby appoint the practitioners associated with the firm and Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to the address associated with that Customer Number:

COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION

(Page 2)

FITZPATRICK, CELLA, HARPER & SCINTO

Customer Number: 05514

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole or First Inventor John S. Haikin

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_ Citizen/Subject of U.S.A.

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110 Innovation Drive, Irvine, CA 92612

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Second Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_ Citizen/Subject of \_\_\_\_\_

Residence \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full Name of Third Joint Inventor, if any \_\_\_\_\_

Third Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_ Citizen/Subject of \_\_\_\_\_

Residence \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full Name of Fourth Joint Inventor, if any \_\_\_\_\_

Fourth Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_ Citizen/Subject of \_\_\_\_\_

Residence \_\_\_\_\_

Post Office Address \_\_\_\_\_